

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 1796-157

First Inventor Hong You WANG, et al.

Title A ROTARY DRIVE DEVICE OF A POLISHING DEVICE

PRO  
S. 1417  
JC 9/8/01  
D 3/20/01

**Assignee Information:** TEIJIN SEIKI CO., LTD.  
JAPAN

PTO

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
*(Submit an original, and a duplicate for fee processing)*
2.  Applicant claims small entity status.
3.  Specification Total pages [ 19 ]  
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross references to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
4.  Drawing(s) (35 USC 113) (Total Sheets) [ 5 ]
5.  Oath or Declaration (Total Pages) [ ]  
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application  
*(37 CFR 1.63(d))*  
*(for continuation/divisional with Box 17 completed)*  
**[Note Box 5 below]**
- i  **DELETION OF INVENTOR(S)**  
*Signed statement attached deleting inventor(s) named in the prior application,*
6.  Application Data Sheet.

ADDRESS TO: Assistant Commissioner of Patents  
Box Patent Application  
Washington, D.C. 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program
8. Nucleotide and/or Amino Acid Sequence Submission
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  
*(when there is an assignee)*
  - Power of Attorney
11.  English Translation Document *(if applicable)*
12.  Information Disclosure Statement /PTO 1449
  - Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s).
16.  Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).
17.  Other:

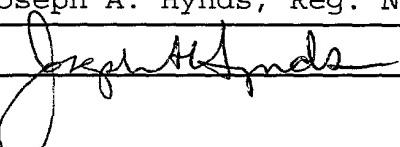
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Customer Number or Bar Code Label **6449**

Address	Rothwell, Figg, Ernst & Manbeck Suite 701-East, 555 13th Street, N.W.				
City	Washington	State	D.C.	Zip Code	20004
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Joseph A. Hynds, Reg. No. 34,627				
Signature			Date 3/20/01		

<b>FEE TRANSMITTAL for FY 2001 (Large Entity)</b>		Complete if Known	
		Application Number	Unassigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Hong You WANG, et al.
		Examiner Name	Unassigned
		Group Art Unit	Unassigned
Total Amount of Payment	(\$ 710.00)	Attorney Docket Number	1796-157

**METHOD OF PAYMENT** (check one)

- The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- Applicant claims small entity status
- Payment Enclosed
  - Check
  - Credit Card

**FEE CALCULATION**
**1. FILING FEE**

Fee Code	Fee \$	Fee Description	Fee Paid
101	710	Utility filing fee	[ 710 ]
106	320	Design Filing Fee	[ ]
107	490	Plant Filing Fee	[ ]
108	710	Reissue Filing Fee	[ ]
114	150	Provisional Filing Fee	[ ]
<b>SUBTOTAL</b>		<b>\$ 710.00</b>	

**2. CLAIMS**

Total Claims	Extra Claims	Fee \$	Fee Paid
Independent	[ 10 ] - 20** = [ 0 ] x	\$18 =	[ 0 ]
Claims	[ 1 ] - 3** = [ 0 ] x	80 =	[ 0 ]
Multiple Dependent Claims	+ [ ]	270 =	[ ]

\*\*or number previously paid, if greater;

**SUBTOTAL** \$ 710.00

**FEE CALCULATION** (continued)

**3. ADDITIONAL FEES**

Fee Code	Fee Paid	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	[ ]
127	50	Surcharge - late provisional filing fee or cover sheet	[ ]
139	130	Non-English specification	[ ]
147	2,520	For filing a request for reexamination	[ ]
112	920	Requesting publication of SIR prior to Examiner action	[ ]
113	1,840*	Requesting publication of SIR after Examiner action	[ ]
115	110	Extension for reply within first month	[ ]
116	390	Extension for reply within second month	[ ]
117	890	Extension for reply within third month	[ ]
118	1,390	Extension for reply within fourth month	[ ]
128	1,890	Extension for reply within fifth month	[ ]
119	310	Notice of Appeal	[ ]
120	310	Filing a brief in support of an appeal	[ ]
121	270	Request for Oral Hearing	[ ]
138	1,510	Petition to institute a public use proceeding	[ ]
140	110	Petition to revive -unavoidable	[ ]
141	1,240	Petition to revive - unintentional	[ ]
142	1,240	Utility issue fee (or reissue)	[ ]
143	440	Design issue fee	[ ]
144	600	Plant issue fee	[ ]
122	130	Petitions to the Commissioner	[ ]
123	50	Processing fee under 37 CFR 1.17(q)	[ ]
126	180	Submission of Information Disclosure Statement	[ ]
581	40	Recording each patent assignment per property (times number of properties)	[ ]
146	710	Filing a submission after final rejection (37 CFR .129(a))	[ ]
149	710	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
179	710	Request for Continued Examination (RCE)	[ ]
169	900	Request for expedited examination of a design application	[ ]
195	300	Publication fee for early, voluntary, or normal publication	[ ]
196	300	Publication fee for republication	[ ]
089	200	Filing application for patent term adjustment	[ ]
090	200	Request for reinstatement of term reduced	[ ]
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL**

\$ 0.00

SUBMITTED BY			Complete (if applicable)	
NAME AND REG. NUMBER	Joseph A. Hynds, Reg. No. 34,627			
SIGNATURE	Joseph A. Hynds	DATE	3/20/01	DEPOSIT ACCOUNT USER ID